

Principal Prosperity Series 信安豐盛投資系列
Principal Asia Pacific High Dividend Equity Fund 信安亞太高息股票基金
20 June 2011 / 2011年6月20日

- ▶ *This statement provides you with key information about Principal Asia Pacific High Dividend Equity Fund (“Sub-Fund”).*
本概要提供信安亞太高息股票基金（「子基金」）的重要資料。
- ▶ *This statement is a part of the offering document.*
本概要是銷售文件的一部分。
- ▶ *You should not invest in the Sub-Fund based on this statement alone.*
請勿單憑本概要作出投資決定。

Quick facts 資料便覽**Fund Manager 基金經理**Principal Asset Management Company (Asia) Limited
信安資金管理（亞洲）有限公司**Delegate of the Manager 基金經理的獲轉授人****Investment Manager 基金經理**Principal Global Investors, LLC (internal delegation, registered in USA), and Principal Global Investors (Hong Kong) Limited (internal sub-delegation with effect from 4 July 2011, registered in Hong Kong)
Principal Global Investors, LLC（內部委託，在美國登記），信安環球投資（香港）有限公司（內部再委託（由2011年7月4日起生效），在香港登記）**Custodian 保管人**

Principal Trust Company (Asia) Limited 信安信託（亞洲）有限公司

Dealing frequency 交易頻密程度

Every business day 每一營業日

Base currency 基本貨幣

US Dollar 美元

Dividend policy 派息政策Dividend, if declared, will be paid semi-annually at 30 June and 31 December. If the dividend is below US\$100, it will be reinvested
已宣佈之股息（如有），將會於6月30日及12月31日每半年分派一次。如派息低於100美元，將再作投資**Financial year end of this fund 財政年度終結日** 30 June / 6月30日**Min. investment 最低投資額**US\$ 2,000 initial, N/A additional
首次2,000美元，其後每次不適用**What is this product? 本基金是什麼產品？**

The Sub-Fund is a fund constituted in the form of a unit trust. 本子基金以單位信託形式組成。

Objectives and Investment Strategy 目標及投資策略**Objective 目標**

To achieve high current income and capital appreciation through investing in a diversified portfolio of listed securities in the Asia Pacific region.

透過分散投資於亞洲太平洋地區的上市證券，以取得高水平的經常性收益。

Investment Strategy 投資策略

The Sub-Fund will invest in a diversified portfolio of listed securities in the Asia Pacific region, including but not limited to the following countries: Australia, China, Hong Kong, Indonesia, Malaysia, New Zealand, Philippines, Singapore, South Korea, Taiwan and Thailand. The fund will focus on companies which demonstrate strong corporate fundamentals and offer the potential for superior dividend yields. The Sub-Fund will also seek to achieve capital appreciation with relatively moderate to high volatility commensurate with investing in equities. The Sub-Fund may also on an ancillary basis from time to time hold cash, deposits and instruments with floating or fixed rates such as certificates of deposits, bankers' acceptances and commercial paper.

本子基金將分散投資於亞洲太平洋地區的上市證券，有關國家包括（但不限於）澳洲、中國、香港、印尼、馬來西亞、紐西蘭、菲律賓、新加坡、南韓、台灣及泰國。本基金的投資重點將集中於那些基本因素強勁，並可望提供優越股息率的公司企業。此外，本子基金在承受與投資股票相同的較中度至高度風險波幅的情況下，致力達致資本增值的目標。本子基金亦可不時以輔助的形式持有現金、存款及浮息或定息工具，如存款證、銀行承兌票據及商業票據。

What are the key risks? 本基金有哪些主要風險?

Investment involves risks. Please refer to the Explanatory Memorandum for details including the risk factors.
投資涉及風險。請參閱基金說明書，了解風險因素等資料。

Market risk 市場風險

➤ The Sub-Fund's investments are subject to the risks inherent in all securities, including the fact that the value of holdings may go down as well as up. In particular, dividend yields from the Sub-Fund's investments may fluctuate up or down as a result of changes in the dividend policy of the underlying companies in which the Sub-Fund is invested. Such changes will impact on the level of dividends available for distribution by the Sub-Fund.

本子基金的投資須承受所有證券的內在風險，包括股份價值可跌可升的風險。特別是本子基金可能因其所投資的相關公司的派息政策改變，導致本子基金的投資的股息率出現波動。這些改變將影響本子基金可供分派的股息水平。

Currency risk 貨幣風險

➤ The performance of the Sub-Fund may be affected by movements in the exchange rate between the currencies in which the Sub-Fund's assets are held and the base currency of the Sub-Fund. The Sub-Fund will have exposure to fluctuations in currency exchange rates where it invests directly or indirectly in securities denominated in currencies other than US dollars. It may, in part, seek to offset the risks associated with such exposure through foreign exchange transactions. The markets in which foreign exchange transactions are effected are highly volatile, highly specialised and highly technical. Significant changes, including changes in liquidity and prices, can occur in such markets within very short periods of time, often within minutes. Foreign exchange trading risks include, but are not limited to, exchange rate risk, interest rate risk and potential interference by foreign governments through regulation of local exchange markets, foreign investment, or particular transactions in foreign currency.

本子基金的表現可能因其資產所持的貨幣與其本身的基本貨幣的匯率波動而受影響。本子基金可能因直接或間接投資於以非美元結算的證券，而受到匯率波動的影響。本子基金可能會透過外匯交易，以抵銷上述投資所帶來的部分風險。外匯交易市場的波動性較大，並須擁有較高的專業知識及投資技巧。該等市場可能在非常短的時間（往往在數分鐘）內出現顯著的變動，包括流動性及價格的變動。外匯交易風險包括但不限於匯率風險、利率風險及外國政府可能作出干預行動，例如透過立法管制當地的外匯市場、外國投資或特別是外幣交易。

Is there any guarantee? 本基金有否提供保證?

Like most funds, this Sub-Fund does not have any guarantees. You may not get back the full amount of the money you invest.
本子基金與大部分基金一樣，並不提供任何保證。閣下未必能取回投資本金。

What are the fees and charges? 投資本基金涉及哪些費用及收費?

➤ **Charges which may be payable by you 閣下或須繳付的收費**

You may have to pay the following fees when dealing in the units of the fund.
基金單位交易或須繳付以下費用。

Fee 費用	What you pay 金額
Subscription fee 認購費	Up to 5% of the amount you buy 不多於認購額的5%
Switching fee 轉換費	Up to 1% of the conversion price 不多於轉換價的1%
Redemption fee 贖回費	Up to 1% of the realisation price 不多於贖回價的1%

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➤ **Ongoing fees payable by the fund 基金持續繳付的收費**

The following expenses will be paid out of the Sub-Fund. They affect you because they reduce the return you get on your investments.

以下收費將從基金總值中扣除，閣下的投資回報將會因而減少。

	Annual rate (as a % of the Sub-Fund's net asset value) 每年收費率 (佔子基金資產淨值百分比)
Management fee 管理費 The fund pays a management fee to the fund manager 向基金經理支付的管理費	1.4%
Trustee fee 信託費 The fund pays a trustee fee to the trustee 向信託人支付的信託費	On the first USD40 million: 0.125% per annum On the excess above USD40 million: 0.08% per annum 首4,000萬美元: 每年0.125% 超逾4,000萬美元的款額: 每年0.08%
Custodian fee 保管費 The fund pays a custodian fee to the custodian 向保管人支付的保管費	N/A 不適用
Performance fee 業績表現費 The fund pays a performance fee to the fund manager 向基金經理支付的業績表現費	N/A 不適用
Administration fee 行政費 The fund pays an administration fee to the fund administrator 向基金行政管理人支付的行政費	N/A 不適用

➤ **Other fees 其他費用**

You may have to pay other fees when dealing in the units of the Sub-Fund. The Sub-Fund will bear the costs which are directly attributable to it. Please refer to the section "Charges and Expenses" of the Explanatory Memorandum for details.

當進行子基金單位交易時，閣下或須支付其他費用。子基金將承擔直接歸屬於該子基金的費用。詳情請參閱基金說明書的「收費及支出」部分。

Additional Information 其他資料

- You generally buy and/or redeem units at the Sub-Fund's next-determined net asset value (NAV) after the Manager receives your request in good order on or before 4:00 p.m. (Hong Kong time) on a dealing day being the dealing cut-off time. Before placing your subscription or redemption orders, please check with your distributor for the distributor's internal dealing cut-off time (which may be earlier than the fund's dealing cut-off time).

在交易日截止時間即下午四時正（香港時間）或之前經基金經理收妥的認購及/或贖回要求，一般按隨後釐定的單位價格執行。在提出單位認購或贖回申請前，你應向分銷商查詢其交易日截止時間（因可能會早於本子基金的交易日截止時間）。

- The net asset value per unit of the Sub-Fund at each valuation day will be published at least once a month.
本子基金於估值日的每單位資產淨值將每月最少刊登一次。

Important 重要提示

- If you are in doubt, you should seek professional advice.
閣下如有疑問，應諮詢專業意見。
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