

*IMPORTANT: If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the prospectus and the Hong Kong covering document of the Fund (as defined below).*

*The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.*

*CCB Principal Asset Management Co., Ltd. (the “Manager”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make every statement misleading and that opinions expressed in this notice have been arrived at after due and careful consideration.*

### **CCB Principal Dual Income Bond Fund\***

***(\*The name of the fund is not indicative of the fund’s performance and return)***

#### **Addition of Portfolio Manager**

Dear Investors,

We, CCB Principal Asset Management Co., Ltd., as the manager of the CCB Principal Dual Income Bond Fund (“the Fund”), hereby inform Hong Kong investors regarding the appointment of Mr. Peng Zi Yuan as an additional portfolio manager to the Fund (“the Announcement”). Please see the details below:

#### 1. Basic Information

Fund Name	CCB Principal Dual Income Bond Fund
Fund Abbreviation	CCB Principal Dual Income Bond Fund
Fund Master Code	530017
Fund Manager Name	CCB Principal Asset Management Co., Ltd.
Basis of Announcement	Administrative Measures for Disclosure of Information on Securities Investment Funds (《证券投资基金信息披露管理办法》)
Type of Portfolio Manager Change	Appointment of additional Portfolio Manager

Name of New Portfolio Manager	Peng Ziyun
Name of Co-Portfolio Manager	Zhu Hong

## 2. Related Information on the new Portfolio Manager

Name of New Portfolio Manager	Peng Ziyun
Date of Appointment	17 July 2019
Years of experience in the securities business	6
Years of experience in securities investment management industry	6
Experience	Mr Peng Ziyun, Master's Degree Holder. He joined CCB Principal Funds in July 2013 as assistant research fellow, fixed income investment and bonds analyst and assistant portfolio manager.
Has the portfolio manager ever been subject to administrative sanctions or regulatory measures?	No
Has the portfolio manager obtained relevant fund industry qualification?	Yes
Nationality	China
Educational Level	Master's Degree Holder
Has the portfolio manager registered with the China Fund Industry Association (中国基金业协会) in accordance with relevant regulations?	Yes

## 3. Other Related Matters

The above matter has been reported by the Manager to the China Securities Regulatory Commission Beijing Branch for filing according to relevant regulations.

If you have any queries, please direct these to the Hong Kong Representative at 30/F, Millennium City 6, 392 Kwun Tong Road, Kowloon or by telephone at its telephone number: (852) 2117 8383.

CCB Principal Asset Management Co., Ltd.

15 October 2019