

IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt as to the action you should take, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the prospectus and the Hong Kong covering document of the Fund (as defined below).

CCB Principal Asset Management Co., Ltd. accepts full responsibility for accuracy of the information contained in this document and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading and that opinions expressed in this document have been arrived at after due and careful consideration.

Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.

CCB Principal Dual Income Bond Fund*

*(*The name of the fund is not indicative of the fund’s performance and return)*

Suspension in Subscription

We, CCB Principal Asset Management Co., Ltd., the manager of CCB Principal Dual Income Bond Fund (the “**Fund**”) have issued “Announcement on Suspension and Resumption of Subscription, Switching-in and Periodic Subscription Business” (the “**Subscription Suspension Announcement**”) on 10 July 2020.

In order to protect the interests of Unitholders and to ensure the smooth operation of the Fund, we will suspend accepting subscription application(s) and periodic subscription in Class H Units of the Fund with effect from 10 July 2020, resuming on 13 July 2020 (including 13 July 2020).

Existing holdings of Class H Unitholders of the Fund will not be affected in any way. Rights of redemption of Class H Units of the Fund remain unchanged.

Please see the attached Subscription Suspension Announcement for further details.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website*: www.principal.com.hk).

**The website has not been reviewed by the SFC.*

CCB Principal Asset Management Co., Ltd.

Date: 10 July 2020

CCB Principal Dual Income Bond Fund

Announcement on Suspension and Resumption of Subscription, Switching-in and Periodic Subscription Business

Date of announcement: 10 July 2020

1. Basic Information of Announcement

Name of the Fund	CCB Principal Dual Income Bond Fund (“the Fund”)	
Alias of the Fund	CCB Principal Dual Income Bond	
Fund Main Code	530017	
Fund Manager	CCB Principal Asset Management Co., Ltd.	
Legal basis of Announcement	Laws and regulations such as “Securities Investment Fund Law of the People’s Republic of China”, “Measures for the Administration of the Operations of Securities Investment Funds” and also the “Prospectus of CCB Principal Dual Income Bond Fund”, “Fund Contract of CCB Principal Dual Income Bond Fund”	
Date and reason for suspension / resumption of relevant services	Starting date of suspension of subscription, switching-in and periodic subscription	10 July 2020
	Reason for suspension and resumption of relevant services	To protect the interests of Unitholders of the Fund and to ensure the smooth operation of the Fund

2. Other matters required to be disclosed

- (1) During the period of suspension of subscription, switching-in and periodic subscription services of CCB Principal Dual Income Bond Fund (hereafter referred to as the “**Fund**”, transaction code of Class A units: 530017; transaction code of Class C units: 531017; transaction code of Class H units: 960029), all other services will operate as usual except subscription, switching-in and periodic subscription services. Switching service is currently not available for Class H Unitholders, therefore only the subscription and periodic subscription services are suspended, all the other services will operate as usual except the subscription and periodic subscription services.
- (2) Subscription, switching-in and periodic subscription services for the Fund will be resumed on 13 July 2020 (including 13 July 2020), no separate announcement will be made.
- (3) If investors have any queries, please dial the toll-free customer service hotline of the Fund Manager: 400-81-95533, or visit our website (www.ccbfund.cn) for enquiries.

Risk Warning:

The Fund Manager undertakes to manage and apply the Fund assets with honesty, prudence and due diligence. However, it does not guarantee any profits or minimum return of the Fund. Investors are advised to be aware of investment risks.

Hereby announce the above.

CCB Principal Asset Management Co., Ltd.

10 July 2020