

*IMPORTANT: If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the prospectus and the Hong Kong covering document of the Fund (as defined below).*

*The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.*

*CCB Principal Asset Management Co., Ltd. (the “Manager”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make every statement misleading and that opinions expressed in this notice have been arrived at after due and careful consideration.*

**Unaudited 2<sup>nd</sup> Quarterly Report for the period ended 30 June 2020**  
**of**  
**CCB Principal Dual Income Bond Fund\***  
*(\*The name of the fund is not indicative of the fund’s performance and return)*

Dear Investors,

We are pleased to inform you that unaudited 2<sup>nd</sup> quarterly report for the period ended 30 June 2020 (the “**Report**”) of CCB Principal Dual Income Bond Fund (the “**Fund**”) is now available on the website of the Hong Kong Representative, Principal Investment & Retirement Services Limited at ([www.principal.com.hk](http://www.principal.com.hk)<sup>^</sup>). The Report is available in Simplified Chinese only, and printed copies of the Report will be made available on request at the registered office of the Hong Kong Representative.

Only Class H Units of the Fund are currently available to Hong Kong investors. Certain data as contained in the Report belong to Class A Units and Class C Units of the Fund, which shall be for reference only. The performance of Class A Units and Class C Units of the Fund is not indicative of the performance of Class H Units of the Fund. Class A Units and Class C Units of the Fund are not authorized by the SFC for offering to the public in Hong Kong.

We have also issued a notification announcement dated 20 July 2020 (“**the Announcement**”) regarding the Report to Mainland investors. Please see the attached Announcement (translated version) for your reference.

If you have any queries, please direct these to the Hong Kong Representative at 30/F, Millennium City 6, 392 Kwun Tong Road, Kowloon or by telephone at its telephone number: (852) 2117 8383.

<sup>^</sup>*This website has not been reviewed by the SFC.*

CCB Principal Asset Management Co., Ltd.

20 July 2020

## 123 funds managed by CCB Principal Asset Management Co., Ltd.

### Notification announcement regarding the 2020 2<sup>nd</sup> Quarterly Report

The board of directors and the directors of CCB Principal Asset Management Co., Ltd warrant that, the information set out in the 2020 2<sup>nd</sup> quarterly report of the 123 funds managed by it does not contain false representations, misleading statements or material omissions, and accept several and joint liability for the truthfulness, accuracy and completeness of the contents.

The full text of the 2020 2<sup>nd</sup> quarterly reports of the 123 funds managed by our company will be available for investors at our company's website [www.ccbfund.cn] (this website has not been reviewed by the Securities and Futures Commission of Hong Kong) and the electronic information disclosure website of the China Securities Regulatory Commission (<http://eid.csrc.gov.cn/fund>) (this website has not been reviewed by the Securities and Futures Commission of Hong Kong) on 20 July 2020. Details of the specific funds are as follows:

Item	Name of the fund*
1.	建信货币市场基金
2.	CCB Principal Selected Growth Mixed Asset Fund>(* The name of the fund is not indicative of the fund's performance and return )
3.	建信优化配置混合型证券投资基金
4.	建信稳定增利债券型证券投资基金
5.	建信核心精选混合型证券投资基金
6.	建信双周安心理财债券型证券投资基金
7.	建信沪深 300 指数证券投资基金(LOF)
8.	上证社会责任交易型开放式指数证券投资基金
9.	建信上证社会责任交易型开放式指数证券投资基金联接基金
10.	建信内生动力混合型证券投资基金
11.	建信积极配置混合型证券投资基金
12.	建信安心回报定期开放债券型证券投资基金

13.	建信恒久价值混合型证券投资基金
14.	CCB Principal Dual Income Bond Fund *(The name of the fund is not indicative of the fund's performance and return)
15.	建信创新中国混合型证券投资基金
16.	建信中证 500 指数增强型证券投资基金
17.	建信双债增强债券型证券投资基金
18.	建信嘉薪宝货币市场基金
19.	建信环保产业股票型证券投资基金
20.	建信精工制造指数增强型证券投资基金
21.	建信鑫利灵活配置混合型证券投资基金
22.	建信兴利灵活配置混合型证券投资基金
23.	建信福泽安泰混合型基金中基金(FOF)
24.	建信睿丰纯债定期开放债券型发起式证券投资基金
25.	建信福泽裕泰混合型基金中基金(FOF)
26.	深证基本面 60 交易型开放式指数证券投资基金
27.	建信深证基本面 60 交易型开放式指数证券投资基金联接基金
28.	建信短债债券型证券投资基金
29.	建信转债增强债券型证券投资基金
30.	建信消费升级混合型证券投资基金
31.	建信灵活配置混合型证券投资基金
32.	建信稳定得利债券型证券投资基金
33.	建信鑫安回报灵活配置混合型证券投资基金
34.	建信新经济灵活配置混合型证券投资基金
35.	建信鑫丰回报灵活配置混合型证券投资基金

36.	建信多因子量化股票型证券投资基金
37.	建信民丰回报定期开放混合型证券投资基金
38.	建信中证政策性金融债 8-10 年指数证券投资基金(LOF)
39.	建信恒稳价值混合型证券投资基金
40.	建信纯债债券型证券投资基金
41.	建信健康民生混合型证券投资基金
42.	建信信息产业股票型证券投资基金
43.	建信稳定丰利债券型证券投资基金
44.	建信弘利灵活配置混合型证券投资基金
45.	建信恒瑞一年定期开放债券型证券投资基金
46.	建信中国制造 2025 股票型证券投资基金
47.	建信鑫利回报灵活配置混合型证券投资基金
48.	建信量化优享定期开放灵活配置混合型证券投资基金
49.	建信深证 100 指数增强型证券投资基金
50.	建信央视财经 50 指数分级发起式证券投资基金
51.	建信优势动力混合型证券投资基金(LOF)
52.	建信周盈安心理财债券型证券投资基金
53.	建信信用增强债券型证券投资基金
54.	建信潜力新蓝筹股票型证券投资基金
55.	建信现金添利货币市场基金
56.	建信裕利灵活配置混合型证券投资基金
57.	建信睿怡纯债债券型证券投资基金
58.	建信现金增利货币市场基金

59.	建信睿享纯债债券型证券投资基金
60.	建信恒远一年定期开放债券型证券投资基金
61.	建信稳定鑫利债券型证券投资基金
62.	建信战略精选灵活配置混合型证券投资基金
63.	建信润利增强债券型证券投资基金
64.	建信沪深 300 指数增强型证券投资基金 ( LOF )
65.	建信安心回报 6 个月定期开放债券型证券投资基金
66.	建信荣元一年定期开放债券型发起式证券投资基金
67.	建信瑞福添利混合型证券投资基金
68.	建信收益增强债券型证券投资基金
69.	建信稳定添利债券型证券投资基金
70.	建信社会责任混合型证券投资基金
71.	建信改革红利股票型证券投资基金
72.	建信鑫稳回报灵活配置混合型证券投资基金
73.	建信龙头企业股票型证券投资基金
74.	建信优享稳健养老目标一年持有期混合型基金中基金(FOF)
75.	建信中短债纯债债券型证券投资基金
76.	建信稳健回报灵活配置混合型证券投资基金
77.	建信互联网+产业升级股票型证券投资基金
78.	建信现代服务业股票型证券投资基金
79.	建信汇利灵活配置混合型证券投资基金
80.	建信恒安一年定期开放债券型证券投资基金
81.	建信睿富纯债债券型证券投资基金

82.	建信高端医疗股票型证券投资基金
83.	建信睿和纯债定期开放债券型发起式证券投资基金
84.	建信智享添鑫定期开放混合型证券投资基金
85.	建信港股通恒生中国企业交易型开放式指数证券投资基金
86.	建信睿兴纯债债券型证券投资基金
87.	建信丰裕多策略灵活配置混合型证券投资基金(LOF)
88.	建信瑞丰添利混合型证券投资基金
89.	建信鑫瑞回报灵活配置混合型证券投资基金
90.	建信天添益货币市场基金
91.	建信中小盘先锋股票型证券投资基金
92.	建信睿盈灵活配置混合型证券投资基金
93.	建信鑫荣回报灵活配置混合型证券投资基金
94.	建信上证 50 交易型开放式指数证券投资基金
95.	建信上证 50 交易型开放式指数证券投资基金发起式联接基金
96.	建信创业板交易型开放式指数证券投资基金
97.	建信创业板交易型开放式指数证券投资基金发起式联接基金
98.	建信大安全战略精选股票型证券投资基金
99.	建信现金添益交易型货币市场基金
100.	建信量化事件驱动股票型证券投资基金
101.	建信 MSCI 中国 A 股国际通交易型开放式指数证券投资基金
102.	建信 MSCI 中国 A 股国际通交易型开放式指数证券投资基金发起式联接基金
103.	建信鑫泽回报灵活配置混合型证券投资基金
104.	建信中证 1000 指数增强型发起式证券投资基金

105.	建信中债 1-3 年国开行债券指数证券投资基金
106.	建信中债 3-5 年国开行债券指数证券投资基金
107.	建信中证红利潜力指数证券投资基金
108.	建信沪深 300 红利交易型开放式指数证券投资基金
109.	建信中债 5-10 年国开行债券指数证券投资基金
110.	建信全球机遇混合型证券投资基金
111.	建信新兴市场优选混合型证券投资基金
112.	建信富时 100 指数型证券投资基金
113.	建信睿信三个月定期开放债券型发起式证券投资基金
114.	建信睿阳一年定期开放债券型发起式证券投资基金
115.	建信高股息主题股票型证券投资基金
116.	建信荣瑞一年定期开放债券型证券投资基金
117.	建信易盛郑商所能源化工期货交易型开放式指数证券投资基金
118.	建信 MSCI 中国 A 股指数增强型证券投资基金
119.	建信荣禧一年定期开放债券型证券投资基金
120.	建信科技创新混合型证券投资基金
121.	建信中证沪港深粤港澳大湾区发展主题交易型开放式指数证券投资基金
122.	建信科技创新 3 年封闭运作灵活配置混合型证券投资基金
123.	建信中证 800 交易型开放式指数证券投资基金

If there are any queries please contact our company's customer service hotline (400-81-95533).

The manager of the fund undertakes to manage and use the assets of the fund with due diligence, good faith and prudence. However, it does not guarantee any profits or minimum returns of the fund. Investors should obtain a good understanding of the fund's risks and return characteristics, and make a prudent investment decision.

This notice is hereby given.

CCB Principal Asset Management Co., Ltd.

20 July 2020