

THE COMPANY

Principal Hong Kong: We're here growing with Hong Kong society for over 25 years. We're passionate and dedicated to help you accomplish your different financial goals.

As your asset management & investment planning partner, we combine our capabilities and expertise in global investment management, asset allocation and retirement planning to provide all-round financial solutions to our customers.

- **25+** years of establishment
- **HK\$83+ billion** assets under management (AUM)
- **720,000+** customers (including employers, members and individual investors)

OUR EXPERTISE

With Principal Hong Kong, you can choose from a series of featured services to best fit your needs.



MPF Schemes & Products

- Principal MPF Scheme Series 800
- Principal MPF – Smart Plan
- Principal MPF – Simple Plan
- Tax-deductible Voluntary Contributions
- Special Voluntary Contributions
- Personal Account



ORSO Schemes

- Principal Trust Company (Asia) Limited Retirement Scheme
- Principal Central Provident Fund



Mutual Funds

- Principal Global Investors Funds
- Principal Life Style Fund
- Principal Prosperity Series
- Mainland-Hong Kong Mutual Recognition of Funds (Southbound)

PRINCIPAL OVERVIEW

Principal Financial Group

Parent Company

- Established since 1879
- A member of FORTUNE 500®
- AUM **US\$635 billion**
- NASDAQ Listed (ticker symbol: PFG)
- **Rank 7** on Barron's 100 Most Sustainable Companies list 2022*

Principal Asset Management

The full-fledged asset manager

- AUM **US\$501.5 billion[^]**
- 1,100+ institutional clients in **70+ markets**
- 600+ global investment professionals
- **14 specialized investment teams**, spanning global equities, fixed income, real estate, and alternatives to optimize investing results

INDUSTRY RECOGNITIONS

Asset Management

**2022 BENCHMARK Fund of the Year Awards,
Hong Kong ¹****Asset Management - House Awards**

Best-In-Class, Asia Pacific ex-Japan Equity

Outstanding Achiever, High Yield Fixed Income

Top Mutual Fund Awards

Outstanding Achiever, Global High Yield Fixed Income

**Bloomberg BusinessWeek / Chinese Edition
Top Fund Awards 2022 ²****Mutual Funds Category**

Fixed Income - Corporate Bond (5 years) -

Outstanding Performer

MPF

"The 2023 MPF Awards" by MPF Ratings ³

Silver Rated Scheme

Bronze Rated Schemes

20,15,10,5,1 year Consistent Performers – Guaranteed Fund

1 Year Consistent Performers – Bond Fund(HKD)

**Bloomberg BusinessWeek / Chinese Edition
Top Fund Awards 2022 ²****MPF Category**

Equity - China (5 & 10 years) - Outstanding Performer

Guaranteed Fund (1 year & 5 years) - Best Performer

**2022 BENCHMARK MPF of the Year Awards,
Hong Kong ¹****MPF Investment Manager Awards**

Outstanding Achiever, Asia Equity

Outstanding Achiever, ESG Integration

Outstanding Achiever, Europe Equity

Top MPF Awards

Best-In-Class, Guaranteed Funds

Service

Hong Kong Customer Contact Association (HKCCA) Award 2022 ⁴

Gold Award - Mystery Caller Assessment Award – Finance and Insurance (5th Consecutive Year)

Corporate Social Responsibility

**GBA Corporate Sustainability Awards 2022
by Metro Finance⁵**

Certificate of Joining World Institute of Sustainable
Development Planners (WISDP) as
Recognized Sustainable Development Corporation

"The 2023 MPF Awards" by MPF Ratings ⁷
Socially Responsible**Good MPF Employer Award (2014 - 2022)
by Mandatory Provident Fund Schemes Authority ⁶****10 Years Plus Caring Company (2010 - 2022)
by the Hong Kong Council of Social Service ⁸**

Footnotes:

Data as of 31 December 2022, unless otherwise noted.

+ This is Barron's fifth year producing this list, utilizing Calvert Research and Management to assess the 1,000 largest publicly traded companies. According to Barron's, the ranking system looks at ESG performance indicators such as workplace diversity, data security, and greenhouse-gas emissions, as well as how the company performs for shareholders, employees, customers, community, and the planet.

^ See Disclaimer page for AUM description. Marketing assets under management include certain assets that are managed by Principal International and Retirement and Income Solutions divisions of Principal.

1. Benchmark's Asset Management – House Awards recognize managers of various asset classes and strategies for delivering sustained, consistent outperformance on a risk-adjusted basis. Benchmark's top Mutual Funds are selected based purely on quantitative data in our BlueOnion fund database. For details, please refer to <https://www.benchmark.today/fund-awards-2022/>. Benchmark's MPF Investment Manager Awards is designed to recognize underlying managers of MPF Schemes in various asset classes and strategies for delivering sustained, consistent outperformance on a risk-adjusted basis. BENCHMARK's top Mandatory Provident Funds (MPF) are purely based on long-term performance. For details, please refer to <https://www.benchmark.today/mpf-awards-2022/>.

2. Bloomberg BusinessWeek/Chinese Edition Top Fund Awards 2022's Mutual Fund Awards are measured by market performance and asset classes in the past 12 months while Mandatory Provident Fund Awards are assessed with 1-year, 5-years and 10-years total return figures. For details of source and assessment criteria, please refer to <http://www.bbwhkevent.com>.

3. "The 2023 MPF Awards" by MPF Ratings are based on quantitative assessment (fund choices and performance, fees and charges), and qualitative assessment of an MPF Scheme as of 31 December 2022. For details of the source and assessment criteria, please refer to <https://mpfratings.com.hk/ratings-methodology/>. MPF Ratings Consistent Performers recognize constituent funds which deliver the best fund performance within their respective fund categories in MPF Ratings MPF performance survey. 1 Year Consistent Performers recognize the constituent funds with the best 1 year absolute performance. In the case of 5 year, 10 year, 15 year and 20 year performance, performance is calculated on a risk adjusted basis. Risk is defined as standard deviation calculated based on monthly performance of the constituent funds. For details of the source and assessment criteria, please refer to <https://mpfratings.com.hk/awards-methodology/>.

4. The HKCCA Award 2022 - Mystery Caller Assessment Awards were presented by Hong Kong Customer Contact Association (HKCCA) in 2022. For details, please refer to <https://hkcca.com/>.

5. The Greater Bay Area Corporate Sustainability Award 2022 takes 17 United Nations Sustainable Development Goals (SDGs) as the core, to evaluate corporates who take active actions in the three major directions of economic growth, social progress and environmental protection in the Greater Bay Area. For details of the judging criteria, please refer to <https://multimetro.hk/finance/灣區企業可持續發展大獎2022獎項詳情/>.

6. Good MPF Employer Award is granted on an annual basis, covering the period from 1 April to 31 March of the following year. Employers who fulfil the criteria stated by MPFA can submit an application for the Award. For more information, please see <https://www.mpfa.org.hk/en/mpfa/corporate-events/good-mpf-employer-award>.

7. MPF Ratings' Socially Responsible award is based on the assessment of socially responsible initiatives of an MPF Scheme as of 31 December 2022, which promote socially responsible outcomes that visibly benefit the people of Hong Kong. For details of the source and assessment criteria, please refer to <https://mpfratings.com.hk/awards-methodology/>.

8. Caring Company is to commend and give public recognition to business organisations that demonstrate good corporate citizenship. For more information, please see https://www.caringcompany.org.hk/en_nomi_intro.php.

The above awards information is for reference only, investors should not invest in reliance on this document alone.

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