

# CCB Principal China New Energy Innovation Fund - A Class Accumulation Units

31 August 2025

#### Investment manager

Principal Global Investors, LLC

## Sub investment manager

PGI has appointed CCB/Principal Asset Management (Hong Kong) Co., Ltd as the Sub-Investment Manager.

## **Fund managers**

Li Bohan 20 Yrs Industry Exp Liu Kefei 13 Yrs Industry Exp

## Investment objective

The objective of the Fund is to provide a return based on capital growth and income. Investors should be aware that there is no guarantee that the Fund will achieve its investment objective.

#### Index

CSI China New Energy Index

#### **Fund facts**

 Fund size
 \$26.77m

 Launch date
 17 May 2023

 Fund base currency
 USD

 Min investment
 U\$\$ 1,000

 Fund domicile
 IRELAND

 UCITS qualifying
 Yes

 Dealing cut off
 10:00 AM GMT

 Daily
 5pm Hong Kong

Article 8
A Class

SFDR Categorisation
Fee structure
Preliminary charge
Management fee

Management fee 1.75% pa Admin charge 0.15% pa Investor minimum

Please contact Principal Global Investors

#### Important note

- •The Fund will invest 80-95% of its Net Asset Value in listed equity securities (i.e., common stock, American depositary receipts and global depositary receipts) of companies domiciled in or exercising the principal part of their business activity in China, of which the proportion of investment in "New Energy Industry Stocks" (as described below) shall not be less than 80% of the Fund's Net Asset Value.
- •"New Energy Industry Stocks" refers to non-conventional energy stocks. Conventional energy refers to coal, petroleum and natural gas. New Energy and the New Energy Industry Stocks in which the Sub-Fund will invest mainly includes solar power, photovoltaic energy, nuclear energy, hydroelectricity, downstream new electricity operators, shale gas, green power, biofuel, natural gas, geothermal energy, wind power, ethanol fuel, hydrogen power, LED lighting, energy saving and environmental protection industry, new energy vehicles and new energy services (e.g. building energy efficiency, photoelectric glass curtain wall, lithium battery, waste electricity generation, new energy vehicles electronics). The Fund may invest in the upper or lower stream new energy supply chain listed companies and the new energy services companies benefited from the new energy sector.
- •Investment via depositary receipts may be subject to additional risks compared to direct exposure to the underlying security, including counterparty risk where the underlying security and the depositary bank's own assets are not segregated, such that a significant or even total loss might be suffered in the event of the liquidation of the depositary or custodian bank. In addition, depositary receipts holders generally do not have the same right as the direct shareholders of the underlying securities. The performance of depositary receipts may also be impacted by relevant fees.
- •There are risks and uncertainties associated with the current PRC tax laws, regulations and practice in respect of capital gains realised via the QFI status or Stock Connect on the Fund's investments in the PRC (Which may have retrospective effect). Any increased tax liabilities on the Fund may adversely affect the Fund's value. Based on professional and independent tax advise, the Fund will not make any tax provisions on realised and/or unrealised capital gains, dividends and interests derived from PRC securities.
- •The Fund's net derivative exposure may be up to 50% if its Net Asset Value. The Fund may utilise financial derivative instruments (FDI) such as futures and forwards, for efficient portfolio management in accordance with the investment restrictions, conditions and limits laid down by the Central Bank. Risks associated with FDI include counterparty/credit risk, liquidity risk, valuation risk, volatility risk and over-the-counter transaction risk. The leverage element/component of an FDI can result in a loss significantly greater than the amount invested in the FDI by the Fund. Exposure to FDI may lead to a high risk of significant loss by the Fund.
- •Investment involves risk. There is no assurance on investment returns, and you may not get back the amount originally invested.
- •The investment decision is yours, but you should not invest in the Fund unless the intermediary who sells it to you has advised you that is is suitable for you and explained how it is consistent with your investment objectives.
- •You should not invest solely in reliance on this marketing material alone. You should read the Summary Prospectus and the relevant Supplement(s) before investing including the Special investment Considerations and Risk section of the Summary Prospectus.

## Performance (%)

	1M	3M	YTD	1YR	3YR	5YR	SI
Fund Cumulative Net	20.74	26.47	22.34	43.48	-	-	1.30
Cumulative Index	16.27	29.60	17.11	34.02	-	-	-28.04
Fund Annualised Net	20.74	26.47	22.34	43.48	-	-	0.56
Index Annualised	16.27	29.60	17.11	34.02	-	-	-13.37

Fund performance is calculated on a NAV to NAV basis. Please see performance notes for further details. SI represents Since Inception.

# Calendar year performance (%)

	2024	2023*	2022	2021	2020
Fund Net	0.36	-17.00	-	-	-
Index	-9.55	-32.07	-	-	-

<sup>\*</sup> CCB Principal China New Energy Innovation Fund was incepted on 17 May 2023.

# Cumulative performance since inception (%)



# Top 10 holdings (%)

	Fund
Contemporary Amperex Technology Co., Ltd.	9.1
Sungrow Power Supply Co., Ltd.	6.9
Shenzhen Envicool Technology Co., Ltd.	6.4
BYD Company Limited	5.0
Ningbo Orient Wires & Cables Co.,Ltd.	4.8
Shijiazhuang Shangtai Technology Co., Ltd.	3.7
Zhejiang Sanhua Intelligent Controls Co.,Ltd.	3.6
WUS Printed Circuit (Kunshan) Co.,Ltd.	3.5
CMOC Group Limited	3.2
Xiaomi Corporation	2.9
Total	48.9

## Sector allocation (%)

	Fund	
New Energy Vehicle	61.6	
PV	11.3	
Wind Power	6.7	
Energy Storage	6.4	
Smart Power Grid	4.3	
Others	2.9	
Cash	6.9	

Source: Principal Global Investors and/or its affuliates and the Index.

Data: This data/analysis is not sourced from the Fund's official record. It is based upon data from the Index afformation of the Index affuliates.

## **Fund codes**

 Bloomberg
 CCBCHPL ID

 ISIN
 IE000011QIQ4

 Lipper
 68756533

 SEDOL
 BMXR5W8

 CUSIP
 G72497160

 Valoren
 126237580

# Registration\*

Hong Kong SAR, Ireland and Singapore

## Performance note

The performance data shown is based upon the Fund's Net Asset Value (NAV) prices of the last Irish business day of the month. For Funds not open for dealing on this day this will be an indicative NAV. As a result, it is possible that the stated performance and the actual investment returns available to investors will differ. The performance data shown is net of fees and other charges but excludes any potential entry/exit charges – as such the returns an investor receives may be lower. The performance information reflects performance of the A Class accumulation units. Periods over one year are annualised. Investors should obtain their own independent tax advice. Past performance is no guarantee of future results. All figures shown in this document are in U.S dollars unless otherwise noted. The CSI New Energy Index provides insights into the performance of companies involved in the new energy sector in China. The index tracks the price movements of these companies over time, allowing investors to identify trends and make informed decisions.

#### Disclosure

\*Where applicable: In Singapore, the Fund is registered as a Restricted Scheme and in Italy for Qualified Investors only.

Divergences between the returns of unit classes denominated in the base currency of the fund and hedged unit classes may arise among other things due to interest rate differentials between the base currency of the fund and the hedged unit class currency.

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## Contact us

For more information on the fund, please contact:

Principal Asset Management Company (Asia) Limited 852 2117 8383

# Web site

https://www.principalam.com

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