

# CCB Principal China New Energy Innovation Fund - A Class Accumulation Units

31 March 2026

## Investment manager

Principal Global Investors, LLC

## Sub investment manager

PGI has appointed CCB/Principal Asset Management (Hong Kong) Co., Ltd as the Sub-Investment Manager.

## Fund managers

### Li Bohan

21 Yrs Industry Exp

### Liu Kefei

14 Yrs Industry Exp

## Investment objective

The objective of the Fund is to provide a return based on capital growth and income. Investors should be aware that there is no guarantee that the Fund will achieve its investment objective.

## Index

CSI China New Energy Index

## Fund facts

Fund size	\$34.29m
Launch date	17 May 2023
Fund base currency	USD
Min investment	US\$ 1,000
Fund domicile	IRELAND
UCITS qualifying	Yes
Dealing cut off	10:00 AM GMT Daily
	Spm Hong Kong SAR
SFDR Categorisation	Article 8
Fee structure	A Class
Preliminary charge	
Management fee	1.75% pa
Admin charge	0.15% pa
Investor minimum	
Please contact Principal Global Investors	

## Important note

- The Fund will invest 80-95% of its Net Asset Value in listed equity securities (i.e., common stock, American depositary receipts and global depositary receipts) of companies domiciled in or exercising the principal part of their business activity in China, of which the proportion of investment in "New Energy Industry Stocks" (as described below) shall not be less than 80% of the Fund's Net Asset Value.
- "New Energy Industry Stocks" refers to non-conventional energy stocks. Conventional energy refers to coal, petroleum and natural gas. New Energy and the New Energy Industry Stocks in which the Sub-Fund will invest mainly includes solar power, photovoltaic energy, nuclear energy, hydroelectricity, downstream new electricity operators, shale gas, green power, biofuel, natural gas, geothermal energy, wind power, ethanol fuel, hydrogen power, LED lighting, energy saving and environmental protection industry, new energy vehicles and new energy services (e.g. building energy efficiency, photoelectric glass curtain wall, lithium battery, waste electricity generation, new energy vehicles electronics). The Fund may invest in the upper or lower stream new energy supply chain listed companies and the new energy services companies benefited from the new energy sector.
- Investment via depositary receipts may be subject to additional risks compared to direct exposure to the underlying security, including counterparty risk where the underlying security and the depositary bank's own assets are not segregated, such that a significant or even total loss might be suffered in the event of the liquidation of the depositary or custodian bank. In addition, depositary receipts holders generally do not have the same right as the direct shareholders of the underlying securities. The performance of depositary receipts may also be impacted by relevant fees.
- There are risks and uncertainties associated with the current PRC tax laws, regulations and practice in respect of capital gains realised via the QFI status or Stock Connect on the Fund's investments in the PRC (Which may have retrospective effect). Any increased tax liabilities on the Fund may adversely affect the Fund's value. Based on professional and independent tax advice, the Fund will not make any tax provisions on realised and/or unrealised capital gains, dividends and interests derived from PRC securities.
- The Fund's net derivative exposure may be up to 50% if its Net Asset Value. The Fund may utilise financial derivative instruments (FDI) such as futures and forwards, for efficient portfolio management in accordance with the investment restrictions, conditions and limits laid down by the Central Bank. Risks associated with FDI include counterparty/credit risk, liquidity risk, valuation risk, volatility risk and over-the-counter transaction risk. The leverage element/component of an FDI can result in a loss significantly greater than the amount invested in the FDI by the Fund. Exposure to FDI may lead to a high risk of significant loss by the Fund.
- Investment involves risk. There is no assurance on investment returns, and you may not get back the amount originally invested.
- The investment decision is yours, but you should not invest in the Fund unless the intermediary who sells it to you has advised you that it is suitable for you and explained how it is consistent with your investment objectives.
- You should not invest solely in reliance on this marketing material alone. You should read the Summary Prospectus and the relevant Supplement(s) before investing including the Special investment Considerations and Risk section of the Summary Prospectus.

## Performance (%)

	1M	3M	YTD	1YR	3YR	5YR	SI
Fund Cumulative Net	-4.71	-1.91	-1.91	45.40	-	-	23.30
Cumulative Index	-4.36	6.29	6.29	64.14	-	-	-2.84
Fund Annualised Net	-4.71	-1.91	-1.91	45.40	-	-	7.56
Index Annualised	-4.36	6.29	6.29	64.14	-	-	-1.00

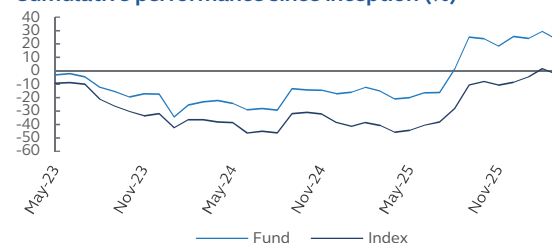
Fund performance is calculated on a NAV to NAV basis. Please see performance notes for further details. SI represents Since Inception.

## Calendar year performance (%)

	2025	2024	2023	2022	2021
Fund Net	51.81	0.36	-17.00	-	-
Index	48.77	-9.55	-32.07	-	-

\* CCB Principal China New Energy Innovation Fund was inception on 17 May 2023.

## Cumulative performance since inception (%)



## Top 10 holdings (%)

	Fund
Contemporary Amperex Technology Co., Ltd.	9.0
Guangzhou Tinci Materials Technology Co.,Ltd.	6.8
Sungrow Power Supply Co., Ltd.	6.5
Shenzhen Envicool Technology Co., Ltd.	5.4
Yunnan Energy New Material (Group) Co., Ltd.	4.7
Zhejiang Sanhua Intelligent Controls Co.,Ltd.	3.8
Hunan Yuneng New Energy Battery Material Co.,Ltd	3.6
Shijiazhuang Shangtai Technology Co., Ltd.	3.5
WUS Printed Circuit (Kunshan) Co.,Ltd.	3.0
CMOC Group Limited	2.4
<b>Total</b>	<b>48.7</b>

No. of holdings 45

## Sector allocation (%)

	Fund
New Energy Vehicle	66.4
PV	9.6
Energy Storage	7.5
Wind Power	5.4
Smart Power Grid	3.0
Other	0.0
Cash	8.1

Source: Principal Global Investors and/or its affiliates and the Index.

Data: This data/analysis is not sourced from the Fund's official record. It is based upon data from the internal systems of Principal Global Investors and/or its affiliates.

### Fund codes

Bloomberg	CCBCHPL ID
ISIN	IE000011QIQ4
Lipper	68756533
SEDOL	BMXR5W8
CUSIP	G72497160
Valoren	126237580

### Registration\*

Hong Kong SAR, Ireland and Singapore

### Performance note

The performance data shown is based upon the Fund's Net Asset Value (NAV) prices of the last Irish business day of the month. For Funds not open for dealing on this day this will be an indicative NAV. As a result, it is possible that the stated performance and the actual investment returns available to investors will differ. The performance data shown is net of fees and other charges but excludes any potential entry/exit charges – as such the returns an investor receives may be lower. The performance information reflects performance of the A Class accumulation units. Periods over one year are annualised. Investors should obtain their own independent tax advice. Outperforming the CSI China New Energy Index is not specifically included in the objective for the Fund, and the figures shown in the table are provided as a comparison only. Past performance is no guarantee of future results. All figures shown in this document are in U.S dollars unless otherwise noted.

### Disclosure

\*Where applicable: In Singapore, the Fund is registered as a Restricted Scheme and in Italy for Qualified Investors only.

Divergences between the returns of unit classes denominated in the base currency of the fund and hedged unit classes may arise among other things due to interest rate differentials between the base currency of the fund and the hedged unit class currency.

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### Contact us

For more information on the fund, please contact:

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### Web site

<https://www.principalam.com>

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