

IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the Prospectus and the Hong Kong Covering Document of the Fund (as defined below).

The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.

*CCB Principal Asset Management Co., Ltd. (the “**Manager**”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.*

CCB Principal Dual Income Bond Fund*

*(*The name of the fund is not indicative of the fund’s performance and return)*

Change in Portfolio Manager

Dear Investors,

We, CCB Principal Asset Management Co., Ltd., the manager of CCB Principal Dual Income Bond Fund (the “**Fund**”) have issued an announcement dated 19 October 2021 regarding the change of portfolio manager of the Fund (the “**Announcement**”). Please see the attached Announcement (translated version) for your reference.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website: www.principal.com.hk/^).

^This website has not been reviewed by the SFC.

CCB Principal Asset Management Co., Ltd.

19 October 2021

CCB Principal Dual Income Bond Fund *

*(*The name of the fund is not indicative of the fund's performance and return)*

Change in Portfolio Manager

Date of Announcement: 19 October 2021

1. Basic Information

Fund Name	CCB Principal Dual Income Bond Fund
Fund Abbreviation	CCB Principal Dual Income Bond
Fund Master Code	530017
Fund Manager Name	CCB Principal Asset Management Co., Ltd.
Basis of Announcement	Administrative Measures for Disclosure of Information on Publicly Offered Securities Investment Funds(《公开募集证券投资基金信息披露管理办法》)
Type of Portfolio Manager Change	Addition of Portfolio Manager
Name of New Portfolio Manager	Yin Runquan
Name of Co-Portfolio Manager	Peng Ziyun

2. Related Information on the New Portfolio Manager

Name of New Portfolio Manager	Yin Runquan
Joining Date	15 October 2021
Experience in Securities Sector	8 years
Experience in Securities Investment Management	8 years
Biography	Mr. Yin graduated with a master's degree in Financial Engineering from the University of California, Los Angeles in December 2011. He was an investment manager of Sinosafe Asset Management Co., Ltd. (华安财保资产管理有限责任公司) from January 2013 to September 2018, responsible for proprietary accounts' investments; from October 2018 to July 2021, he worked for China Life Pension Co., Ltd. (中国人寿养老保险股份有限公司) as a senior investment manager with a focus

	on annuity investment. Mr. Yin joined CCB Principal's fixed income department as a proposed portfolio manager in August 2021.
Has the portfolio manager ever been subject to any administrative penalty or administrative control by regulatory authorities?	No
Has the portfolio manager obtained relevant qualification requirements?	Yes
Nationality	Chinese
Highest Academic Level	Master's Degree
Has the portfolio manager arranged for registration procedures with the China Fund Industry Association (中国基金业协会) in accordance with relevant regulations?	Yes

3. Other Related Matters

The above matter has been filed with the Beijing Office of the China Securities Regulatory Commission in accordance with the relevant procedures.

This notice is hereby given.

CCB Principal Asset Management Co., Ltd.

19 October 2021