

IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the Prospectus and the Hong Kong Covering Document of the Fund (as defined below).

The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.

*CCB Principal Asset Management Co., Ltd. (the “**Manager**”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.*

CCB Principal Dual Income Bond Fund* and CCB Principal Selected Growth Mixed Asset Fund*

*(*The name of the fund is not indicative of the fund’s performance and return)*

Appointment of Chairman of the Board of the Fund Manager

Dear Investors,

We, CCB Principal Asset Management Co., Ltd., the manager of CCB Principal Dual Income Bond Fund and CCB Principal Selected Growth Mixed Asset Fund (the “**Funds**”) have issued an announcement dated 28 September 2023 regarding the appointment of chairman of the board of the Fund Manager (the “**Announcement**”). Please see the attached Announcement (translated version) for your reference.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website: www.principal.com.hk/ ^).

^This website has not been reviewed by the SFC.

CCB Principal Asset Management Co., Ltd.
28 September 2023

Announcement of CCB Principal Asset Management Co., Ltd. on Appointment of Chairman of the Board

Date of Announcement: 28 September 2023

1. Basic Information of the Announcement

Name of the Fund Manager	CCB Principal Asset Management Co., Ltd.
Basis of Announcement	Measures for the Supervision and Administration of the Directors, Supervisors, Senior Executives, and Practitioners of Securities Fund Trading Institutions (《證券基金經營機構董事、監事、高級管理人員及從業人員監督管理辦法》), Administrative Measures for Information Disclosure on Publicly Offered Securities Investment Funds (《公開募集證券投資基金信息披露管理辦法》)
Type of Personnel Change	Appointment of the Chairman of the Board of Fund Manager

2. Related Information on the Newly Appointed Chairman of the Board

Position of the new appointee	Chairman of the Board
Name of the new appointee	Sheng Liurong
Date of appointment	26 September 2023
Previous working experience	Mr. Sheng Liurong has served as a principal person in charge in the Xiamen Branch of China Construction Bank, the Financial Market Department and the Asset and Liability Management Department of the Head Office of the bank, and is currently the Chief Financial Officer and the General Manager of the Asset and Liability Management Department of China Construction Bank. Mr. Sheng Liurong was appointed as the Secretary of the Party Committee of CCB Principal Asset Management Co. in September 2023, and was appointed as the Chairman of the Board of Directors of CCB Principal Asset Management Co. in

	26 September.
Relevant qualifications obtained	China Fund Practitioner Qualification
Nationality	China
Education background, degree	Postgraduate, Ph.D.

3. Other matters

The above matter has been approved by the Shareholders' Meeting and the Board of Directors of the Company, and the Company has filed with the Beijing Office of the China Securities Regulatory Commission and the Asset Management Association of China in accordance with the relevant procedures.

From 26 September 2023, Mr. Zhang Junhong, the president of the Company, has ceased to perform the duties of Acting Chairman of the Board.

This notice is hereby given.

CCB Principal Asset Management Co., Ltd.

28 September 2023