

IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the Prospectus and the Hong Kong Covering Document of the Funds (as defined below).

The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Funds nor does it guarantee the commercial merits of the Funds or their performance. It does not mean that the Funds are suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.

CCB Principal Asset Management Co., Ltd. (the “Manager”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.

CCB Principal Dual Income Bond Fund*

(*The name of the fund is not indicative of the fund’s performance and return)

CCB Principal Selected Growth Mixed Asset Fund*

(*The name of the fund is not indicative of the fund’s performance and return)

Implementation of relevant new accounting standards for financial instruments by the Funds (as defined below)

Dear Investors,

We, CCB Principal Asset Management Co., Ltd., the Manager of the CCB Principal Dual Income Bond Fund and the CCB Principal Selected Growth Mixed Asset Fund (the “Funds”) have issued an announcement dated 4 January 2022 regarding the implementation of relevant new accounting standards for financial instruments by the Funds (the “Announcement”). Please see the attached Announcement (translated version) for your reference. Please note that except for the Funds, other funds managed by CCB Principal Asset Management Co., Ltd. are not authorized by the SFC for offering to the public in Hong Kong.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website: www.principal.com.hk/).

^This website has not been reviewed by the SFC.

CCB Principal Asset Management Co., Ltd.

4 January 2022

Announcement on the implementation of relevant new accounting standards for financial instruments by the public securities investment funds of CCB Principal Asset Management Co., Ltd.

In accordance with the *Chinese Accounting Standards for Business Enterprises No.22 – Recognition and Measurement of Financial Instruments* (Caikuai (2017) No.7), *Chinese Accounting Standards for Business Enterprises No.23 – Transfer of Financial Assets* (Caikuai (2017) No.8), *Chinese Accounting Standards for Business Enterprises No.24 – Hedge Accounting* (Caikuai (2017) No.9), *Chinese Accounting Standards for Business Enterprises No.37 – Presentation of Financial Instruments* (Caikuai (2017) No.14) (hereinafter referred to as “relevant new accounting standards for financial instruments”) and the *Notice of Further Implementing Relevant New Accounting Standards for Financial Instruments* (Caikuai (2020) No.22) issued by the Ministry of Finance in December 2020, the public securities investment funds of the Company will implement relevant new accounting standards for financial instruments starting from 1 January 2022.

This notice is hereby given.

CCB Principal Asset Management Co., Ltd.

4 January 2022