

IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the Prospectus and the Hong Kong Covering Document of the Fund (as defined below).

The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.

*CCB Principal Asset Management Co., Ltd. (the “**Manager**”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.*

CCB Principal Selected Growth Mixed Asset Fund *

*(*The name of the fund is not indicative of the fund’s performance and return)*

Suspension in Subscription

Dear Investors,

We, CCB Principal Asset Management Co., Ltd., as the manager of the CCB Principal Selected Growth Mixed Asset Fund (the “**Fund**”), have issued an announcement dated 12 January 2022 regarding “Announcement on Suspension and Resumption of Subscription, Switching-in and Periodic Subscription Business” (the “**Subscription Suspension Announcement**”).

In order to protect the interests of Unitholders and to ensure the smooth operation of the Fund, we will suspend accepting subscription and periodic subscription application(s) in Class H Units of the Fund with effect from 12 January 2022, resuming on 14 January 2022 (including 14 January 2022).

Please see the attached Subscription Suspension Announcement for further details.

Only Class H Units of the Fund are currently available to Hong Kong investors. Certain data as contained in the Subscription Suspension Announcement belong to Class A Units of the Fund, which shall be for reference only. The performance of Class A Units of the Fund is not indicative of the performance of Class H Units of the Fund. Class A Units of the Fund are not authorized by the SFC for offering to the public in Hong Kong.

Existing holdings of Class H Unitholders of the Fund will not be affected in any way. Right of redemption of Class H Units of the Fund remain unchanged. Investors should note that switching into Class H Units of the Fund from another fund managed by the Manager is currently not available for Class H Unitholders.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website: www.principal.com.hk/^).

^This website has not been reviewed by the SFC.

CCB Principal Asset Management Co., Ltd.

12 January 2022

CCB Principal Selected Growth Mixed Asset Fund

Announcement on Suspension and Resumption of Subscription, Switching-in and Periodic Subscription Business

Date of announcement: 12 January 2022

1. Basic Information of Announcement

Name of the Fund	CCB Principal Selected Growth Mixed Asset Fund	
Alias of the Fund	CCB Principal Selected Growth Mixed Asset	
Fund Main Code	530003	
Fund Manager	CCB Principal Asset Management Co., Ltd.	
Legal basis of Announcement	Laws and regulations such as “Securities Investment Fund Law of the People’s Republic of China”, “Measures for the Administration of the Operations of Securities Investment Funds” and also the “Prospectus of CCB Principal Selected Growth Mixed Asset Fund”, “Fund Contract of CCB Principal Selected Growth Mixed Asset Fund”	
Date and reason for suspension / resumption of relevant services	Starting date of suspension of subscription, switching-in and periodic subscription	12 January 2022
	Reason for suspension / resumption of relevant services	To protect the interests of Unitholders of the Fund and to ensure the smooth operation of the Fund

2. Other matters required to be disclosed

- (1) During the period of suspension of subscription, switching-in and periodic subscription services of CCB Principal Selected Growth Mixed Asset Fund (hereinafter referred to as the “Fund”, transaction code of Class A units: 530003; transaction code of Class H units: 960028), all other services will operate as usual except subscription, switching-in and periodic subscription services. Switching service is currently not available for Class H Unitholders, therefore only the subscription and periodic subscription services are

suspended, all the other services will operate as usual except the subscription and periodic subscription services.

- (2) Subscription, switching-in and periodic subscription services for the Fund will be resumed on 14 January 2022 (including 14 January 2022), no separate announcement will be made.
- (3) If investors have any queries, please dial the toll-free customer service hotline of the Fund Manager: 400-81-95533, or visit our website www.ccbfund.cn (this website has not been reviewed by the Securities and Futures Commission of Hong Kong) for enquiries.

Risk warning:

The Fund Manager undertakes to manage and apply Fund assets with honesty, prudence and due diligence. However, it does not guarantee any profits or minimum return of the Fund. Investors are advised to be aware of investment.

Hereby announce the above.

CCB Principal Asset Management Co., Ltd.

12 January 2022