

Principal Mutual Funds



Possible Steps

Building Your
Financial Well-being
Is Just Around You

Your singular focus to managing investment

Principal Financial Group

- Parent Company

Principal Financial Group (**Principal**) helps people and companies around the world build, protect and advance their financial well-being through retirement, insurance and asset management solutions that fit their lives. Our employees are passionate about helping clients of all income and portfolio sizes achieve their goals – offering innovative ideas, investment expertise and real-life solutions to make financial progress possible.

Principal Global Investors

- Global Asset Manager at Principal

Principal Global Investors (**PGI**) provides a single point of access to a family of world-class investment teams. With their independent knowledge, the teams are able to provide tailored alpha and beta strategies in both developed and emerging markets across the asset class spectrum.

Principal Asset Management Company (Asia) Limited

- Investment Manager in Hong Kong

Established in Hong Kong in 1997, Principal Asset Management Company (Asia) (**PAM**) specializes in portfolio management and asset management businesses. Also, being an investment manager for a number of MPF schemes and approved pooled investment funds in Hong Kong.

CCB Principal Asset Management Co., Ltd.

- Joint Venture with CCB

Established by China Construction Bank Corporation (**CCB**), Principal Financial Service, Inc., and China Huadian Capital Holdings Company Limited in form of joint venture sponsorship, with holding stakes of 65%, 25% and 10% respectively, CCB Principal Asset Management Corporation Limited (**CCBPAM**) is one of the first asset management firms initiated by the commercial banks in mainland China. Scope of business covers public fund offerings, fund sales, asset management and other activities regulated by the China Securities Regulatory Commission (**CSRC**). For years of establishment, the total asset under management of CCBPAM has reached a scale that leads the industry, three major business platforms have been built: public offerings, special account businesses and subsidiary businesses.

What's on?

PGI leads the global asset management at Principal, manages asset for investors around the world through our network of **specialized investment teams**, including but not limited to :

Principal Global Equities (PGE)

**Expertise across
U.S., international
and emerging equity
markets**

Provide you with
investment solutions
spanning equity
markets worldwide

Principal Global Fixed Income (PGFI)

**Expertise across
all major fixed
income sectors and
security types**

Committed to
providing you
customized solutions
worldwide

Spectrum Asset Management (Spectrum)

**Wholly owned and
independently run
affiliate of PGI**

Specializing in
diversified preferred
security portfolios
for retail and
institutional investors

Principal Portfolio Strategies (PPS)

**Specializing in
asset allocation
solutions**

Deliver reliable,
risk-adjusted
investment outcomes
that meet your needs

An investment expertise that you can rely upon

Gain from a multi-team strategy, we strive to deliver consistent, risk-controlled results, flexible investment strategies, and customized solutions to you through a single global investment capabilities model.

Recognized for investment excellence by industry awards, we are dedicated to providing performance excellence, on the ground expertise, global leverages while offering product depth and breadth across the spectrum of asset classes, regions and strategies.



[Company Profile](#)

Our diversified fund range available helping you build, protect and advance your financial well-being that fits your best life

Principal Product Range

Region	Fund Series - Name	Category	Fund Objective	Manager/Strategy
HONG KONG	PLSF - Principal Hong Kong Bond Fund	Bond Fund - HK	Seek to provide a return consisting of income and capital growth over medium to long term by investing at least 70% of its assets in Hong Kong debt securities (rated or unrated ¹).	PAM / PGFI
	PLSF - Principal Hong Kong Dollar Savings Fund	Money Market Fund - HK Dollar	Seek to earn a competitive short-to-medium term rate of return by investing primarily in a portfolio of high quality Hong Kong dollar short-to-medium duration debt securities.	PAM / PGFI
	PLSF - Principal Hong Kong Equity Fund	Equity Fund - HK	Seek to achieve capital growth over the long-term by investing mainly in Hong Kong equity markets.	PAM / PGE
	PLSF - Principal China Equity Fund	Equity Fund - China	Achieve capital growth over the long term by investing mainly in China-related equities.	PAM / PGE
CHINA	CCB Principal - Selected Growth Mixed Asset Fund	Mixed Assets Fund - Mainland China	Achieve long term capital appreciation for the investors, by making investments in listed companies that are favourable to investors in terms of the profitability growth and investment value.	CCBPAM
	CCB Principal - Dual Income Bond Fund	Bond Fund - Mainland China	Through a pro-active management of bond portfolio, to obtain investment return for its investors whilst maintaining a stable growth of the Fund assets.	CCBPAM
	PGIF - CCB Principal China New Energy Innovation Fund	Equity Fund - Mainland China	Seek to provide a return based on capital growth and income by investing in China's New Energy sector.	PGI / CCBPAM
ASIA PACIFIC	PLSF - Principal Asian Equity Fund	Equity Fund - Asia Pacific (ex-Japan)	Seek capital growth over the long term by investing primarily in Asia Pacific (ex-Japan) equities.	PAM / PGE
	PPS - Principal Sustainable Asia Equity Income Fund	Equity Fund - Asia Pacific - High Dividend Equity	The Fund will primarily (i.e. at least 70% of the Fund's net asset value) invest in a diversified portfolio of listed securities of companies and issuers in the Asia Pacific (ex-Japan) region which are considered to be outperforming their peers with respect to sustainability performance based on environmental, social and governance ("ESG") factors ("ESG achievers") as well as exchange traded funds ("ETFs") and collective investment schemes ("CISs"), which primarily invest in equity securities of companies and issuers that maintain better ESG profiles than their corresponding traditional counterparts (collectively "ESG achiever ETFs/CISs"). The Fund will also seek to achieve high current income and capital appreciation with a focus on high dividend yielding stocks.	PAM / PGE
	PPS - Principal Sustainable Asian Allocation Fund	Mixed Assets Fund - Asia Pacific	The Fund will primarily (i.e. at least 70% of the Fund's net asset value) invest in a diversified range of assets and securities (including equities, equity related securities and debt securities) of companies and issuers in Asia Pacific (ex-Japan) region which are considered to be outperforming their peers with respect to sustainability performance based on environmental, social and governance ("ESG") factors ("ESG achievers") as well as exchange traded funds ("ETFs") and collective investment schemes ("CISs"), which primarily invest in equity or debt securities of companies or issuers that maintain better ESG profiles than their corresponding traditional counterparts (collectively "ESG achiever ETFs/CISs"), and provide capital growth and income over medium to long term.	PAM / PGAA
	PPS - Principal Sustainable Asian Income Fund	Bond Fund - Asia	The Fund will primarily (i.e. at least 70% of the Fund's net asset value) invest in a diversified portfolio of fixed income securities and fixed income-related securities of companies and issuers in Asia which are considered to be outperforming their peers with respect to sustainability performance based on environmental, social and governance ("ESG") factors ("ESG achievers") as well as ESG-focused exchange traded funds ("ETFs") and other collective investment schemes ("CISs") which primarily invest in debt securities of companies or issuers that maintain better ESG profiles than their corresponding traditional counterparts (collectively "ESG achiever ETFs/CISs"), and provide a return consisting of income and capital growth over medium to long term.	PAM / PGFI

1. Investment in unrated debt securities is only limited to those issued by the "exempt authority" within the definition of Section 7 of Schedule 1 to the MPPF Regulation.

Investment involves risks. There is no assurance on investment returns and you may not get back the amount originally invested.

Region	Fund Series - Name	Category	Fund Objective	Manager/Strategy
U.S.	PLSF - Principal U.S. Equity Fund	Equity Fund - U.S.	Achieve capital growth over the long term by investing in U.S. equity markets	PAM / PGE
EUROPE	PGIF - European Responsible Equity Fund	Equity Fund - Europe	Seek capital growth over the medium-to-long term by investing principally in equity securities of companies domiciled or with their core business in Europe (including Eastern Europe).	PGI / PGE
GLOBAL	PLSF - Principal International Equity Fund	Equity Fund - Global	Seek capital growth over the long term by investing in international equity markets.	PAM / PGE
	PLSF - Principal International Bond Fund	Bond Fund - Global	Protect and maximize real asset value in terms of international purchasing power by investing mainly in the global bond markets.	PAM / PGFI
	PGIF - Global Sustainable Equity Fund	Equity Fund - Global	Seek capital growth over the medium to long term by investing primarily in equity securities domiciled in both developed and emerging markets that demonstrate positive fundamental change at attractive valuations relative to other similar investments while meeting the ESG considerations.	PGI / PGE
	PGIF - Preferred Securities Fund	Bond Fund - US Corporate	Seek to provide an income return consistent with capital preservation by investing in a portfolio of US dollar denominated preferred securities and debt securities.	PGI / Spectrum
	PGIF - Global High Yield Fund	Bond Fund - Global - High Yield	Seek to provide a return consisting of income and, over the long term, capital growth by investing primarily in a portfolio of US dollar denominated public and private issued high-yield fixed income securities, such as fixed interest rate corporate bonds.	PGI / PGFI
	PGIF - Global Property Securities Fund	Other - Global Property Securities	Seek to provide a total return primarily through investment in a portfolio of global property securities.	PGI / PREI
	PGIF - Origin Global Smaller Companies Fund	Equity Fund - Global Smaller Companies	Seek to achieve capital growth through investment in global smaller companies.	PGI / Origin
	PGIF - Origin Global Emerging Markets Fund	Equity Fund - Global Emerging Markets	Seek to achieve capital growth through investment in global emerging market equities.	PGI / Origin
	PGIF - Post Short Duration High Yield Fund	Bond Fund - Global - High Yield	Aim to provide a high rate of return with low volatility relative to typical high yield investments by investing in high yield securities with short duration.	PGI

Acronym - Fund Series	Full Name
PLSF	Principal Life Style Fund
PGIF	Principal Global Investors Funds
PPS	Principal Prosperity Series
Acronym - Fund Manager	
PAM	Principal Asset Management Company (Asia) Limited
CCBPAM	CCB Principal Asset Management Co., Ltd.
PGI	Principal Global Investors, LLC
	Principal Global Investors (Ireland) Limited
	Principal Global Investors (Europe) Limited
	Principal Global Investors (Australia) Limited
	Principal Global Investors (Singapore) Limited
	PGAA - Principal Global Asset Allocation
PGE	Principal Global Equities
PGFI	Principal Global Fixed Income
Spectrum	Spectrum Asset Management
PREI	Principal Real Estate Investors, LLC
Origin	Origin Asset Management LLP



Mutual Fund Details

Source: The information is provided by The Principal Financial Group.

Investment involves risks. There is no assurance on investment returns and you may not get back the amount originally invested.

Please contact your financial advisors or sales representative if you have any questions or require information on our product range brochure.

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You should consider your own risk tolerance level and financial circumstances before making any investment choices. If you are in doubt as to whether a certain fund or product mentioned in this document is suitable for you (including whether it is consistent with your investment objectives), you should seek legal, financial, tax, accounting and other professional advice to ensure that any decision made is suitable with regards to that your circumstances and financial position, and choose the fund(s)/product(s) suitable for you accordingly.

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