

*IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the Prospectus and the Hong Kong Covering Document of the Fund (as defined below).*

*The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.*

*CCB Principal Asset Management Co., Ltd. (the “**Manager**”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.*

### **CCB Principal Dual Income Bond Fund\***

*(\*The name of the fund is not indicative of the fund’s performance and return)*

#### **Dividend Announcement**

Dear Investors,

We, CCB Principal Asset Management Co., Ltd., as the manager of the CCB Principal Dual Income Bond Fund (the “**Fund**”), have issued a dividend announcement of CCB Principal Dual Income Bond Fund dated 14 January 2026 (the “**Dividend Announcement**”).

Please refer to the table below for information regarding the dividend distribution of Class H Units of the Fund.

Unit Class of the Fund	Class H Units of CCB Principal Dual Income Bond Fund
Currency	RMB
Record date of dividend distribution	31 December 2025
Dividend per Unit	0.022
Dividend paid out of net distributable income ***	<i>[Such information is only available after the ex-dividend date and will be announced separately.]</i>
Dividend paid out of capital	<i>[Such information is only available after the ex-dividend date and will be announced separately.]</i>
Warning: Please note that a positive distribution yield does not imply a positive return. Investors	

should not make any investment decision solely based on information contained in the table(s) above. Investors should read the relevant offering document (including the product key facts statement) of the Fund for further details including the risk factors.

\*\*\* The basis of calculating “net distributable income” is Dividend Income + Interest Income + Realized Investment Gain

Please see the attached Dividend Announcement (translated version) for further details.

Only Class H Units of the Fund are currently available to Hong Kong investors. Certain data as contained in the Dividend Announcement belong to Class A Units and Class C Units of the Fund, which shall be for reference only. The performance of Class A Units and Class C Units of the Fund is not indicative of the performance of Class H Units of the Fund. Class A Units and Class C Units of the Fund are not authorized by the SFC for offering to the public in Hong Kong.

Investors should note that the facility for reinvestment of dividends into Class H Units is currently not available for Class H Unitholders.

Investors should also note that dividend payments are payable in RMB and may be delayed due to exchange controls and restrictions applicable to RMB.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website: [www.principal.com.hk/](http://www.principal.com.hk/) ^).

*^This website has not been reviewed by the SFC.*

CCB Principal Asset Management Co., Ltd.

14 January 2026

# Dividend Announcement of CCB Principal Dual Income Bond Fund

Date of announcement: 14 January 2026

## 1. Basic Information of Announcement

Name of the Fund		CCB Principal Dual Income Bond Fund		
Alias of the Fund		CCB Principal Dual Income Bond		
Fund Main Code		530017		
Effective date of the Fund Contract		13 December 2011		
Fund Manager		CCB Principal Asset Management Co., Ltd.		
Fund Custodian		China CITIC Bank Corporation Limited		
Basis of Announcement		“Fund Contract of CCB Principal Dual Income Bond Fund”, “Prospectus of CCB Principal Dual Income Bond Fund” and relevant laws and regulations		
Record date of dividend distribution (“Record Date”)		31 December 2025		
Explanation on annual distribution frequency		This distribution shall be the first distribution of the Fund in the year of 2026.		
Shortened name of unit class(es) of the Fund		CCB Principal Dual Income Bond A	CCB Principal Dual Income Bond C	CCB Principal Dual Income Bond H
Transaction code of the unit class(es) of the Fund		530017	531017	960029
Relevant indicators of the unit class(es) of the Fund as at the Record Date	Net asset value of the unit class(es) of the Fund as at the Record Date (Currency: RMB)	1.287	1.247	1.286
	Distributable Profit of the unit class(es) of the Fund as at the Record Date (Currency: RMB)	172,156,542.80	21,602,266.35	43,888.62
	Distributable	51,646,962.84	-	13,166.59

	amount calculated in accordance with the distribution ratio as specified under the Fund Contract as at the Record Date (Currency: RMB)			
<b>Distribution plan of the unit class(es) of the Fund (Unit: RMB/10 Fund units)</b>	<b>0.22</b>	-	<b>0.22</b>	

Note:

- (1) At the end of each quarter, when the distributable income for each unit of the Fund exceeds RMB0.05, the Fund Manager shall propose distribution plan within 15 working days starting from the first day of the next quarter;
- (2) Subject to meeting of the conditions for Fund dividend distribution, the maximum number of distributions for each class of units of the Fund shall not exceed 6 times per year, and each income distribution ratio shall be no less than 30% of the distributable profit for each class of units of the Fund as at the Record Date. If the Fund Contract has come into effect for less than 3 months, the income may not be distributed;
- (3) There are 2 methods for the distribution of dividend of the Fund: cash distribution and distribution re-investment. Investors may choose to receive cash dividend or re-invest the cash dividend which is automatically converted into Fund units. For investors who have not chosen the method for dividend distribution, the default method for those investors shall be cash dividend; Unitholders of the Fund can choose different distribution method in respect of their Class A Units and Class C Units. For those who choose the method of distribution re-investment, their dividend for Fund units of the same class shall be converted into Fund units of the corresponding class based on the net asset value per Fund unit of the same class as at the ex-right date, and subscription fees shall be waived for such units which originate from distribution re-investment. Please refer to the Fund Prospectus or other supplementary documents of the Fund Prospectus for details of the distribution method for Class H Units of the Fund.

## 2. Other Information Relevant to the Distribution

Ex-right date	14 January 2026
Ex-dividend date	14 January 2026
Date of payment of cash dividend	15 January 2026
Distribution targets	All unitholders of the Fund as registered on the register on the ex-right date.
Explanation on distribution re-investment-related matters	Investors who choose to re-invest their cash dividend shall have the relevant units from such re-investment directly included in their Fund accounts on 15 January 2026, and will be available for review from 16 January 2026 onwards.
Explanation on tax-related matters	Pursuant to relevant specifications of the Ministry of Finance and State Taxation Administration, distribution made to investors by the Fund is temporarily exempted from income tax.
<b>Explanation on fees-related matters</b>	<b>Administrative fees shall be waived for this round of dividend distribution; subscription fee shall be waived for units converted from cash dividend of investors who choose the method of distribution re-investment.</b>

## 3. Other Matters

- (1) Fund units from subscription application submitted on the ex-right date shall not be entitled to this round of distribution, Fund units from redemption application submitted on the ex-right date shall be entitled to this round of distribution.
- (2) For investors who have not chosen the method for dividend distribution, the default method for Class A and Class C Units shall be cash dividend, the only available distribution method for Class H Units is cash dividend. Please refer to the supplementary documents of the Fund Prospectus for details.
- (3) Investors may amend their choice of distribution method through the sales channels during the dealing time on an Open Day of the Fund, the confirmed method for this round of distribution shall be the latest chosen distribution method of the investor before the ex-right date (excluding that day). Investors are advised to confirm their distribution method through the sales channels or the customer service center of the Fund Manager. If the investors wish to amend the distribution method, please complete the amendment procedure within the aforementioned time through the sales channels.
- (4) Unitholders of the Fund and investors who wish to learn more about other information

relevant to the Fund may visit the website of the Fund Manager ([www.ccbfund.cn](http://www.ccbfund.cn)) (this website has not been reviewed by the Securities and Futures Commission of Hong Kong) or dial the customer service hotline (400-81-95533 toll-free) for enquiries.

- (5) The registrar does not accept applications of change of custody or non-trade transfer from investors on the ex-right date and the date of payment of cash dividend.

**Risk warning:**

The Company undertakes to manage and apply Fund assets with honesty, prudence and due diligence. However, it does not guarantee any profits or minimum return of the Fund. Past performance of the Fund is not indicative of its future performance. Investors are advised to be aware of investment risks and to invest rationally.

The notice is hereby given.

CCB Principal Asset Management Co., Ltd.

14 January 2026