

IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt as to the action you should take, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. This Announcement (as defined below) shall be reference for existing clients of the Fund (as defined below) only. This material is not a promotion material. It is not an invitation to subscribe for units in a Fund nor construed as an offer to buy or sell any financial instruments. Unless otherwise defined, terms in this notice shall have the same meaning as that in the prospectus and the Hong Kong covering document of the Fund (as defined below).

CCB Principal Asset Management Co., Ltd. accepts full responsibility for accuracy of the information contained in this document and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading and that opinions expressed in this document have been arrived at after due and careful consideration.

Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Fund nor does it guarantee the commercial merits of the Fund or its performance. It does not mean that the Fund is suitable for all investors, nor is it an endorsement of its suitability for any particular investor or class of investors.

CCB Principal Selected Growth Mixed Asset Fund*

*(*The name of the fund is not indicative of the fund’s performance and return)*

Dividend Announcement and Suspension in Subscription

We, CCB Principal Asset Management Co., Ltd., the manager of CCB Principal Selected Growth Mixed Asset Fund (the “**Fund**”), have issued an announcement dated 16 January 2020 regarding “Announcement on the Dividend of CCB Principal Selected Growth Mixed Asset Fund” (the “**Dividend Announcement**”).

In relation to the Dividend Announcement, please refer to below dividend distribution of Class H Units of the Fund as at the date of determination of distribution entitlement (31 December 2019).

Share Class of the Fund	Class H Units of CCB Principal Selected Growth Mixed Asset Fund
Fund Code	960028
Currency	Renminbi
Date of Determination of Distribution entitlement	31 December 2019
Dividend per Unit	0.24
Dividend paid out of net distributable income ***	<i>[Such information is only available after the ex-dividend date and will be announced separately.]</i>
Dividend paid out of capital	<i>[Such information is only available after the ex-dividend date and will be announced separately.]</i>
<p>Warning: Please note that a positive distribution yield does not imply a positive return. Investors should not make any investment decision solely based on information contained in the table(s) above. Investors should read the relevant offering document (including the product key facts statement) of the Fund for further details including the risk factors.</p> <p>*** The basis of calculating “net distributable income” is Dividend Income + Interest Income + Realized Investment Gain</p>	

Please see the attached Dividend Announcement for further details.

Only Class H Units of the Fund are currently available to Hong Kong investors. Certain data as contained in the Announcement belong to Class A Units of the Fund, which shall be for reference only. The performance of Class A Units of the Fund is not indicative of the performance of Class H Units of the Fund. Class A Units of the Fund are not authorized by the SFC for offering to the public in Hong Kong.

Investors should note that the facility for reinvestment of dividends into Class H Units is currently not available for Class H Unitholders.

Hong Kong investors should note that dividend payments are payable in RMB and may be delayed due to exchange controls and restrictions applicable to RMB.

We have also issued an announcement dated 16 January 2020 “Announcement on Suspension and Resumption of Subscription, Switching-in and Periodic Subscription Services” (the “**Subscription Suspension Announcement**”).

In order to protect the interests of existing Unitholders, we will suspend accepting subscription and periodic subscription applications in Class H Units of the Fund on 16 January 2020, resuming on 17 January 2020 (including 17 January 2020).

Existing holdings of Class H Unitholders of the Fund will not be affected in any way. Right of redemption of Class H Units of the Fund remain unchanged. Investors should note that switching into Class H Units of the Fund from another fund managed by the Manager is currently not available for Class H Unitholders.

Please see the attached Subscription Suspension Announcement for further details.

If you have any queries, please direct these to the Hong Kong Representative, 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website*: www.principal.com.hk).

**The website has not been reviewed by the SFC.*

CCB Principal Asset Management Co., Ltd.

Date: 16 January 2020

Dividend Announcement of CCB Principal Selected Growth Mixed Asset Fund

Date of announcement: 16 January 2020

1. Basic Information of Announcement

Name of the Fund		CCB Principal Selected Growth Mixed Asset Fund	
Alias of the Fund		CCB Principal Selected Growth	
Fund Main Code		530003	
Effective date of the Fund Contract		8 September 2006	
Fund Manager		CCB Principal Asset Management Co., Ltd.	
Fund Custodian		Industrial and Commercial Bank of China Limited	
Legal basis of Announcement		“Fund Contract of CCB Principal Selected Growth Mixed Asset Fund”, “Prospectus of CCB Principal Selected Growth Mixed Asset Fund” and relevant laws and regulations	
Date of Determination of Distribution Entitlement (“Base Date”)		31 December 2019	
Explanation on annual distribution frequency		This distribution round shall be the third distribution of the Fund, and the first distribution in the year of 2019.	
Shortened name of unit class(es) of the Fund		CCB Principal Selected Growth A	CCB Principal Selected Growth H
Transaction code of the unit class(es) of the Fund		530003	960028
Relevant indicators of the unit class(es) of the Fund as at the Base	Net asset value of the unit class(es) of the Fund as at the Base Date (Currency: Renminbi Yuan)	2.1860	2.2004
	Distributable	941,938,627.05	188,683.69

Date	Profit of the unit class(es) of the Fund as at the Base Date (Currency: Renminbi Yuan)		
	Distributable Amount calculated in accordance with the distribution ratio as specified under the fund contract as at the Base Date (Currency: Renminbi Yuan)	208,771,951.40	0
	Distribution plan of the unit class(es) of the Fund (Unit: Yuan/10 units)	2.40	2.40

Note:

1. Subject to the conditions for dividend distribution, dividend shall be distributed for all classes of Units, the Fund shall distribute no more than 4 times a year, and the annual distribution ratio shall be no less than 50% of the distributable income for each class of unit of the Fund as at the Base Date. If the fund contract has come into effect for no more than three months, the fund income may not be distributed.
2. There are 2 methods for the distribution of dividend of the Fund: cash distribution and distribution re-investment. Fund investors may choose to receive cash dividend or re-invest the cash dividend which is automatically converted into fund units based on the net asset value per unit of the Fund as at the ex-dividend date. For investors who have not chosen the method for

dividend distribution, the default method for those investors shall be cash dividend. Please refer to the Fund Prospectus or the supplementary documents of the Fund Prospectus for details of the distribution method for Class H Units of the Fund.

3. Each Unit within the same class of the Fund shall have the same right to receive distribution. According to the distributable profit of Class H Units of CCB Principal Selected Growth as at the Base Date, the same distribution plan as Class A Units of CCB Principal Selected Growth is adopted.

2. Other Information Relevant to the Distribution

Ex-right date	16 January 2020
Ex-dividend date	16 January 2020
Date of payment of cash dividend	17 January 2020
Distribution targets	All unitholders of the Fund as registered on the register on the ex-right date.
Explanation on distribution re-investment-related matters	Investors who choose to re-invest their cash dividend shall have the relevant units from such re-investment directly included in their Fund accounts on 17 January 2020, and may raise enquiries and make redemptions from 20 January 2020 onwards.
Explanation on tax-related matters	Pursuant to relevant specifications of the Finance Bureau and Taxation Bureau, distribution made to investors by the Fund is temporarily exempted from income tax.
Explanation on fees-related matters	Administrative fees shall be waived for this round of dividend distribution; subscription fee shall be waived for units converted from cash dividend of investors who choose the method of distribution re-investment.

3. Other matters which require to be disclosed

- (1) Fund units from subscription application submitted on the ex-right date shall

not enjoy this round of distribution, Fund units from redemption application submitted on the ex-right date shall enjoy this round of distribution.

- (2) For investors who have not chosen the method for dividend distribution, the default method for Class A Units shall be cash dividend, the only available distribution method for Class H Units is cash dividend. Please refer to the supplementary documents of the Fund Prospectus for details.
- (3) Investors may amend their choice of distribution method through the sales channels during the dealing time on an Open Day, the confirmed method for this round of distribution shall be the latest chosen distribution method of the investor before the ex-right date (excluding that day). Investors are advised to use the sales channels or attend the customer service center of the Fund to confirm their distribution method. If the investors wish to amend the distribution method, please use the sales channels to complete the amendment procedure within the aforementioned time.
- (4) Unitholders of the Fund and investors who wish to learn more about other information relevant to the Fund may visit the website of the Fund Manager (www.ccbfund.cn) or dial the customer service hotline (400-81-95533 toll-free) for enquiries.
- (5) The registrar does not accept applications of change of custody or non-trade transfer from investors on the ex-right date and the date of payment of cash dividend.

Risk disclosure: The Company undertakes to manage and apply Fund assets with honesty, prudence and due diligence. However, it does not guarantee any profits or minimum return of the Fund. Past performances of the Fund is not indicative of its future performances. Investors are advised to be aware of investment risks and to

invest rationally.

Hereby announce the above.

CCB Principal Asset Management Co., Ltd.

16 January 2020

CCB Principal Selected Growth Mixed Asset Fund
Announcement on Suspension and Resumption of Subscription,
Switching-in and Periodic Subscription Services

Date of announcement: 16 January 2020

1. Basic Information of Announcement

Name of the Fund	CCB Principal Selected Growth Mixed Asset Fund	
Alias of the Fund	CCB Principal Selected Growth	
Fund Main Code	530003	
Fund Manager	CCB Principal Asset Management Co., Ltd.	
Legal basis of Announcement	Laws and regulations such as “Securities Investment Fund Law of the People’s Republic of China”, “Measures for the Administration of the Operations of Securities Investment Funds” and also the “Prospectus of CCB Principal Selected Growth Mixed Asset Fund”, “Fund Contract of CCB Principal Selected Growth Mixed Asset Fund”	
Date and reason for suspension/resumption of relevant services	Date of suspension of subscription, switching-in and periodic subscription	16 January 2020
	Reason for suspension/resumption of relevant services	To protect the interests of existing Unitholders of the Fund and to ensure the smooth operation of the Fund

2. Other matters required to be disclosed

- (1) During the period of suspension of subscription, switching-in and periodic subscription services of CCB Principal Selected Growth Mixed Asset Fund (hereinafter referred to as “**the Fund**”, transaction code of

Class A Units of the Fund: 530003; transaction code of Class H Units of the Fund: 960028), all other services will operate as usual except subscription, switching-in and periodic subscription services. Switching service is currently not available for Class H Unitholders, therefore only the subscription and periodic subscription services are suspended, all the other services will operate as usual except the subscription and periodic subscription services.

(2) Subscription, switching-in and periodic subscription services will be resumed on 17 January 2020 (including 17 January 2020), no separate announcement will be made.

(3) If investors have any queries, please dial the toll-free customer service hotline of the Fund Manager: 400-81-95533, or visit our website (www.ccbfund.cn) for enquiries.

Risk Warning:

The Fund Manager undertakes to manage and apply the Fund assets with honesty, prudence and due diligence. However, it does not guarantee any profits or minimum return of the Fund. Investors are advised to be aware of investment risks.

Hereby announce the above.

CCB Principal Asset Management Co., Ltd.

16 January 2020